

Rec'd PCT/PTO 12 JUL 2004

1/12

PCT REQUEST

1103WOORD01

Original (for SUBMISSION):- printed on 13.01.2003 03:19:36 PM

0	For receiving Office use only	
0-1	International Application No.	
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.92 (updated 01.10.2002)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	European Patent Office (EPO) (RO/EP)
0-7	Applicant's or agent's file reference	1103WOORD01
I	Title of invention	PANTOPRAZOLE CYCLODEXTRIN INCLUSION COMPLEXES
II	Applicant	
II-1	This person is:	applicant only
II-2	Applicant for	all designated States except US
II-4	Name	ALTANA PHARMA AG
II-5	Address:	Byk-Gulden-Str. 2 D-78467 Konstanz Germany
II-6	State of nationality	DE
II-7	State of residence	DE
II-8	Telephone No.	07531-84-5338
II-9	Facsimile No.	07531-845321
II-10	e-mail	DEKON.IPPA-DE@altanapharma.com

PCT REQUEST

Original (for SUBMISSION) - printed on 13.01.2003 03:19:36 PM

III-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
III-1-2	Applicant for	US only
III-1-3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR); AE AL AU BA BR CA CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PH PL RO SG TN UA US VN YU ZA ZW
III-1-4	Name (LAST, First)	GIORDANO, Ferdinando
III-1-5	Address:	Via Di Vittorio, 4 I-27020 TORRE D'ISOLA, PAVIA Italy
III-1-6	State of nationality	IT
III-1-7	State of residence	IT
III-2	Applicant and/or inventor	
III-2-1	This person is:	applicant and inventor
III-2-2	Applicant for	US only
III-2-3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR); AE AL AU BA BR CA CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PH PL RO SG TN UA US VN YU ZA ZW
III-2-4	Name (LAST, First)	MARZOCCHI, Lucia
III-2-5	Address:	Via Casale 20, Grondola di Pontremoli I-54027 PONTREMOLI, MASSA CARRARA Italy
III-2-6	State of nationality	IT
III-2-7	State of residence	IT
III-3	Applicant and/or inventor	
III-3-1	This person is:	applicant and inventor
III-3-2	Applicant for	US only
III-3-3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR); AE AL AU BA BR CA CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PH PL RO SG TN UA US VN YU ZA ZW
III-3-4	Name (LAST, First)	MOYANO, Jose, Ramon
III-3-5	Address:	Calle Leon XIII 41092 SEVILLE Spain
III-3-6	State of nationality	ES
III-3-7	State of residence	ES

PCT REQUEST

Original (for SUBMISSION) - printed on 13.01.2003 03:19:36 PM

III-4	Applicant and/or inventor	
III-4-1	This person is:	applicant and inventor
III-4-2	Applicant for	US only
III-4-3	Inventor for	EA: (AM AZ BY KG KZ MD RU TJ TM); EP: (AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR); AE AL AU BA BR CA CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PH PL RO SG TN UA US VN YU ZA ZW
III-4-4	Name (LAST, First)	ROSSI, Alessandra
III-4-5	Address:	Via Don Camillo Ruta, 1 I-27049 STRADELLA, PAVIA Italy
III-4-6	State of nationality	IT
III-4-7	State of residence	IT
IV-1	Agent or common representative; or address for correspondence	
	The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	common representative
IV-1-1	Name	ALTANA PHARMA AG
IV-1-2	Address:	Byk-Gulden-Straße 2 D-78467 Konstanz Germany
IV-1-3	Telephone No.	+49-(0)7531-84-5338
IV-1-4	Facsimile No.	+49-(0)7531-84-5321
IV-1-5	e-mail	DEKON.IPPA-DE@altanapharma.com
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT EP: AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR and any other State which is a Contracting State of the European Patent Convention and of the PCT
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AE AL AU BA BR CA CN CO CU DZ EC GE HR ID IL IN IS JP KR LT LV MA MK MX NO NZ PH PL RO SG TN UA US VN YU ZA ZW

PCT REQUESTOriginal (for **SUBMISSION**) - printed on 13.01.2003 03:19:36 PM

V-5	Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary designations	NONE
VI-1	Priority claim of earlier regional application	
VI-1-1	Filing date	15 January 2002 (15.01.2002)
VI-1-2	Number	02000288.7
VI-1-3	Regional Office	EP
VI-2	Priority claim of earlier regional application	
VI-2-1	Filing date	22 March 2002 (22.03.2002)
VI-2-2	Number	02006454.9
VI-2-3	Regional Office	EP
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)
VII-2	Request to use results of earlier search; reference to that search	
VII-2-1	Date	11 March 2002 (11.03.2002)
VII-2-2	Number	EP02000288
VII-2-3	Country (or regional Office)	EP
VII-3	Request to use results of earlier search; reference to that search	
VII-3-1	Date	26 July 2002 (26.07.2002)
VII-3-2	Number	EP02006454
VII-3-3	Country (or regional Office)	EP
VIII	Declarations	Number of declarations
VIII-1	Declaration as to the identity of the inventor	-
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	1
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	2
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	1
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-

PCT REQUEST

Original (for SUBMISSION) - printed on 13.01.2003 03:19:36 PM

VIII-2-1	Declaration: Entitlement to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate: Name:	in relation to this international application ALTANA PHARMA AG is entitled to apply for and be granted a patent by virtue of the following:
VIII-2-1 (iv)		an assignment from GIORDANO, Ferdinando to BYK GULDEN LOMBERG CHEMISCHE FABRIK GMBH, dated 14 January 2002 (14.01.2002)
VIII-2-1 (iv)		an assignment from MARZOCCHI, Lucia to BYK GULDEN LOMBERG CHEMISCHE FABRIK GMBH, dated 14 January 2002 (14.01.2002)
VIII-2-1 (iv)		an assignment from MOYANO, Jose, Ramon to BYK GULDEN LOMBERG CHEMISCHE FABRIK GMBH, dated 14 January 2002 (14.01.2002)
VIII-2-1 (iv)		an assignment from ROSSI, Alessandra to BYK GULDEN LOMBERG CHEMISCHE FABRIK GMBH, dated 14 January 2002 (14.01.2002)
VIII-2-1 (vii)		the applicant's name changed from BYK GULDEN LOMBERG CHEMISCHE FABRIK GMBH to ALTANA PHARMA AG on 01 July 2002 (01.07.2002)
VIII-2-1 (ix)	This declaration is made for the purposes of:	all designations except the designation of the United States of America

PCT REQUEST

Original (for SUBMISSION) - printed on 13.01.2003 03:19:36 PM

VIII-3-1	Declaration: Entitlement to claim priority Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii)): Name:	in relation to this international application ALTANA PHARMA AG is entitled to claim priority of earlier application No. 02000288.7 by virtue of the following:
VIII-3-1 (viii)		the applicant's name changed from BYK GULDEN LOMBERG CHEMISCHE FABRIK GMBH to ALTANA PHARMA AG on 01 July 2002 (01.07.2002)
VIII-3-1 (ix)	This declaration is made for the purposes of:	all designations

PCT REQUEST

Original (for **SUBMISSION**) - printed on 13.01.2003 03:19:36 PM

VIII-3-2	Declaration: Entitlement to claim priority Declaration as to the applicant's entitlement, as at the International filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii)): Name:	in relation to this international application ALTANA PHARMA AG is entitled to claim priority of earlier application No. 02006454.9 by virtue of the following:
VIII-3-2 (viii)		the applicant's name changed from BYK GULDEN LOMBERG CHEMISCHE FABRIK GMBH to ALTANA PHARMA AG on 01 July 2002 (01.07.2002)
VIII-3-2 (ix)	This declaration is made for the purposes of:	all designations

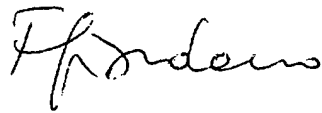
VIII-4-1	<p>Declaration: Inventorship (only for the purposes of the designation of the United States of America) Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:</p>	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to the international application of which it forms a part (if filing declaration with application).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1 -1	Prior applications:	

PCT REQUEST

9/12

1103WOORD01

Original (for SUBMISSION) - printed on 13.01.2003 03:19:36 PM

		<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>
VIII-4-1 -1-1	Name:	GIORDANO, Ferdinando
VIII-4-1 -1-2	Residence: (city and either US State, if applicable, or country)	TORRE D'ISOLA, PAVIA, Italy
VIII-4-1 -1-3	Mailing address:	Via Di Vittorio, 4
VIII-4-1 -1-4	Citizenship:	IT
VIII-4-1 -1-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1 -1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	4, Feb 2003

10/12

1103WOORD01

PCT REQUEST

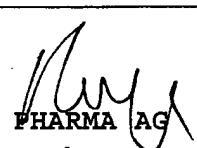
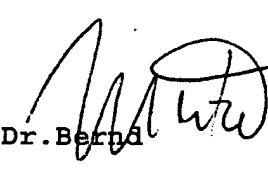
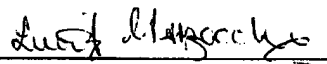
Original (for SUBMISSION) - printed on 13.01.2003 03:19:36 PM

VIII-4-1 -2-1	Name:	MARZOCCHI, Lucia
VIII-4-1 -2-2	Residence: (city and either US State, if applicable, or country)	PONTREMOLI, MASSA CARRARA, Italy
VIII-4-1 -2-3	Mailing address:	Via Casale 20, Grondola di Pontremoli
VIII-4-1 -2-4	Citizenship:	IT
VIII-4-1 -2-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	<i>Lucia Marzocchi</i>
VIII-4-1 -2-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	15/02/2003
VIII-4-1 -3-1	Name:	MOYANO, Jose, Ramon
VIII-4-1 -3-2	Residence: (city and either US State, if applicable, or country)	SEVILLE, Spain
VIII-4-1 -3-3	Mailing address:	Calle Leon XIII
VIII-4-1 -3-4	Citizenship:	ES
VIII-4-1 -3-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1 -3-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	
VIII-4-1 -4-1	Name:	ROSSI, Alessandra
VIII-4-1 -4-2	Residence: (city and either US State, if applicable, or country)	STRADELLA, PAVIA, Italy
VIII-4-1 -4-3	Mailing address:	Via Don Camillo Ruta, 1
VIII-4-1 -4-4	Citizenship:	IT
VIII-4-1 -4-5	Inventor's Signature: (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1 -4-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	

11/12

1103WOORD01

PCT REQUESTOriginal (for **SUBMISSION**) - printed on 13.01.2003 03:19:36 PM

IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	12	-
IX-2	Description	11	-
IX-3	Claims	1	-
IX-4	Abstract	1	EZABST00.TXT
IX-5	Drawings	0	-
IX-7	TOTAL	25	
	Accompanying items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-13	Priority document(s)	Item(s) VI-1, VI-2	-
IX-17	PCT-EASY diskette	-	Diskette
IX-19	Figure of the drawings which should accompany the abstract		
IX-20	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative	 	
X-1-1	Name	ALTANA PHARMA AG	
X-1-2	Name of signatory	i.V.Dr. Herbert Rupp i.V.Dr. Bernd Kratzer	
X-1-3	Capacity	Head of Patent Department Assistant Manager	
X-2	Signature of applicant, agent or common representative		
X-2-1	Name (LAST, First)	GIORDANO, Ferdinando	
X-3	Signature of applicant, agent or common representative		
X-3-1	Name (LAST, First)	MARZOCCHI, Lucia 	
X-4	Signature of applicant, agent or common representative		
X-4-1	Name (LAST, First)	MOYANO, Jose, Ramon	
X-5	Signature of applicant, agent or common representative		
X-5-1	Name (LAST, First)	ROSSI, Alessandra	

FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	

12/12

PCT REQUEST

1103WOORD01

Original (for **SUBMISSION**) - printed on 13.01.2003 03:19:36 PM

10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
------	--	--